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22278 U.S. PTO
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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. P214492
First Inventor John L. White
Title Systems and Methods for Driving Large Diameter Caissons
Express Mail Label No. EL993504665US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification (Total Pages **13**)
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **2**]
5. Oath or Declaration [Total Pages **2**]
 - a. ☒ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☒ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: **Express Mail Filing Date Request: Check**

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP)

Prior application information:

Examiner **J.S. Lee**

of prior application No.: **10/260,116**

Group Art Unit: **3673**

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label **30662** or ☒ Correspondence address below

Name **MICHAEL R. SCHACHT**
Address **2801 MERIDIAN STREET, SUITE 202**
City **BELLINGHAM** State **WA** Zip Code **98225**
Country **US** Telephone **3606470400** Fax **3606470412**

Name (Print/Type) **Michael R. Schacht** Registration No. (Attorney/Agent) **33,550**
Signature **Michael R. Schacht** Date **1/6/2004**

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



EXPRESS MAIL CERTIFICATE OF MAILING

37 C.F.R. §1.10

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service, Express Mail Post Office to Addressee, in an envelope addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22323-1450, on the date shown below.

Signature:

Gloria Smithey
Gloria Smithey

Express Mail No.: EL 993504665 US

Date Mailed: JANUARY 6, 2004

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing is a continuation-in-part application claiming priority of U.S. Serial No. 10/260,116 filed on 09/27/2002:

Inventor: JOHN L. WHITE

Title: SYSTEMS AND METHODS FOR DRIVING LARGE DIAMETER CAISSONS

Attorney's Docket No.: P214492

Date of Deposit: JANUARY 6, 2004

Express Mail mailing label number: EL 993504665 US

1. Enclosed are:

- a) Specification and claims (13 pages consisting of specification, 19 claims, Abstract);
- b) Two (2) sheets of formal drawings;
- c) **Letter requesting treatment under 37 CFR 1.10, Express Mail Filing Date;**
- d) A check in the amount of \$385 for Filing Fee;
- e) Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i);
- f) Unsigned Combined Declaration and Power of Attorney;
- g) A stamped return receipt postcard.

2. APPLICANT CLAIMS SMALL ENTITY STATUS (37 CFR 1.27)

3. The filing fee has been calculated as shown below:

CLAIMS AS FILED				
	Number Filed	Number Extra	Rate	Basic Fee
				\$385
Total Claims	19 - 20 =	0	X \$9.00	
Independent Claims	3 - 3 =	0	X \$42.00	
Multiple dep. Claim(s)			+ \$140.00	
			TOTAL	\$385

4. The Commissioner is hereby authorized to charge payment of any additional filing fees under 35 CFR 1.16 for the presentation of extra claims or any patent application processing fees under 37 CFR 1.17 associated with this communication to Account No. 502099 and to credit any overpayment to that account. A duplicate copy of this sheet is enclosed.

Michael R. Schacht

Michael R. Schacht, Reg. No. 33,550
2801 Meridian St., Suite 202
Bellingham, WA 98225-2412
(360) 647-0400

**NONPUBLICATION REQUEST
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor

John L. White

Title

Systems and Methods for Driving
Large Diameter Caissons

Attorney Docket Number

P214492

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122(b).

January 6, 2004

Date

Michael R. Schacht

Signature

360-647-0400

Telephone number

Michael R. Schacht, Reg. No. 33,550

Typed or printed name

Attorney for Applicant, Cust. No. 30662

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**

This collection of information is required by 37 CFR 1.213(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 6 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Michael R. Schacht

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January 6, 2004

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P.O. Box 1450
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Gloria Smitley
Secretary

PLEASE GIVE THIS APPLICATION THE FILING DATE OF JANUARY 6, 2004.